

# CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA



## Appendices I, II and III

valid from 22 June 2021

### Interpretation

- 1. Species included in these Appendices are referred to:
  - a) by the name of the species; or
  - b) as being all of the species included in a higher taxon or designated part thereof.
- 2. The abbreviation "spp." is used to denote all species of a higher taxon.
- 3. Other references to taxa higher than species are for the purposes of information or classification only. The common names included after the scientific names of families are for reference only. They are intended to indicate the species within the family concerned that are included in the Appendices. In most cases this is not all of the species within the family.
- 4. The following abbreviations are used for plant taxa below the level of species:
  - a) "ssp." is used to denote subspecies; and
  - b) "var(s)." is used to denote variety (varieties).
- 5. As none of the species or higher taxa of FLORA included in Appendix I is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article III of the Convention, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Convention.
- 6. The names of the countries in parentheses placed against the names of species in Appendix III are those of the Parties submitting these species for inclusion in this Appendix.
- 7. When a species is included in one of the Appendices, the whole, live or dead, animal or plant is included. In addition, for animal species listed in Appendix III and plant species listed in Appendix II or III, all parts and derivatives of the species are also included in the same Appendix unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in Appendix II or III refers to a footnote that indicates the parts or derivatives of animals or plants that are designated as 'specimens' subject to the provisions of the Convention in accordance with Article I, paragraph (b), subparagraph (ii) or (iii).
- 8. The terms and expressions below, used in annotations in these Appendices, are defined as follows:

#### **Extract**

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semi-solid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

#### Finished musical instruments

A musical instrument (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is ready to play or needs only the installation of parts to make it playable. This term includes antique instruments (as defined by the Harmonized System codes 97.05 and 97.06; Works of art, collectors' pieces and antiques).

#### Finished musical instrument accessories

A musical instrument accessory (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is separate from the musical instrument, and is specifically designed or shaped to be used explicitly in association with an instrument, and that requires no further modification to be used.

#### Finished musical instrument parts

A part (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) of a musical instrument that is ready to install and is specifically designed and shaped to be used explicitly in association with the instrument to make it playable.

#### Finished products packaged and ready for retail trade

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

#### Powder

A dry, solid substance in the form of fine or coarse particles.

#### Shipment

Cargo transported under the terms of a single bill of lading or air waybill, irrespective of the quantity or number of containers or packages; or pieces worn, carried or included in personal baggage.

#### Ten (10) kg per shipment

For the term "10 kg per shipment", the 10 kg limit should be interpreted as referring to the weight of the individual portions of each item in the shipment made of wood of the species concerned. In other words, the 10 kg limit is to be assessed against the weight of the individual portions of wood of Dalbergia/Guibourtia species contained in each item of the shipment, rather than against the total weight of the shipment.

#### Transformed wood

Defined by Harmonized System code 44.09: Wood (including strips, friezes for parquet flooring, not assembled), continuously shaped (tongued, grooved, v-jointed, beaded or the like) along any edges, ends or faces, whether or not planed, sanded or end-jointed.

#### Woodchips

Wood that has been reduced to small pieces.

	Appendices		
	1	·· II	III
FLORA (PLANTS)			
AGAVACEAE Agaves			
	Agave parviflora		
		Agave victoriae-reginae #4	
		Nolina interrata	
		Yucca queretaroensis	
AMARYLLIDACEAE Snowdrops, sternbergias			
		Galanthus spp. #4	
		Sternbergia spp. #4	
ANACARDIACEAE Cashews			
		Operculicarya decaryi	
		Operculicarya hyphaenoides	
		Operculicarya pachypus	
APOCYNACEAE Elephant trunks, hoodias			
		Hoodia spp. <sup>#9</sup>	
		<b>Pachypodium</b> spp. #4 (Except the species included in Appendix I)	
	Pachypodium ambongense Pachypodium baronii Pachypodium decaryi		

<sup>&</sup>lt;sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants:

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>&</sup>lt;sup>#9</sup> All parts and derivatives except those bearing a label:

<sup>&</sup>quot;Produced from Hoodia spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxxx] [Namibia under agreement No. NA/xxxxxxx] [South Africa under agreement No. ZA/xxxxxxx]".

	Appendices		
	1	ll l	III
		Rauvolfia serpentina #2	
ARALIACEAE Ginseng			
		Panax ginseng #3 (Only the population of the Russian Federation; no other population is included in the Appendices)  Panax quinquefolius #3	
ARAUCARIACEAE Monkey-puzzle trees			
	Araucaria araucana		
ASPARAGACEAE Includes ponytail palms	Araucana araucana		
		Beaucarnea spp.	
BERBERIDACEAE May-apple			
		Podophyllum hexandrum #2	
BROMELIACEAE Air plants, bromelias			
		Tillandsia harrisii <sup>#4</sup>	
		Tillandsia kammii #4	
		Tillandsia xerographica #4	

- c) cut flowers of artificially propagated plants;
- d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae; e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
- f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>#2</sup> All parts and derivatives except:

a) seeds and pollen; and

b) finished products packaged and ready for retail trade.

Whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.

<sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

	Appendices		
	1	ii II	III
CACTACEAE Cacti			
		CACTACEAE spp.9 #4 (Except the species included in Appendix I and except <i>Pereskia</i> spp., <i>Pereskiopsis</i> spp. and <i>Quiabentia</i> spp.)	
	Ariocarpus spp.		
	Astrophytum asterias		
	Aztekium ritteri		
	Coryphantha werdermannii		
	Discocactus spp.		
	Echinocereus ferreiranus ssp. lindsayorum		
	Echinocereus schmollii		
	Escobaria minima		
	Escobaria sneedii		
	<i>Mammillaria pectinifera</i> (includes ssp. <i>solisioides</i> )		
	Melocactus conoideus		
	Melocactus deinacanthus		
	Melocactus glaucescens		
	Melocactus paucispinus		
	Obregonia denegrii		

Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Convention:

- Hatiora x graeseri
- Schlumbergera x buckleyi
- Schlumbergera russelliana x Schlumbergera truncata
- Schlumbergera orssichiana x Schlumbergera truncata
- Schlumbergera opuntioides x Schlumbergera truncata
- Schlumbergera truncata (cultivars)
- Cactaceae spp. colour mutants grafted on the following grafting stocks: Harrisia 'Jusbertii', Hylocereus trigonus or Hylocereus undatus
- Opuntia microdasys (cultivars).
- All parts and derivatives, except:
  - a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
  - b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
  - c) cut flowers of artificially propagated plants;

  - d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae; e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
  - f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

	Appendices		
1	· · · II	III	
Pachycereus militaris			
Pediocactus bradyi			
Pediocactus knowltonii			
Pediocactus paradinei			
Pediocactus peeblesianus			
Pediocactus sileri			
Pelecyphora spp.			
Sclerocactus blainei			
Sclerocactus brevihamatus			
ssp. tobuschii			
Sclerocactus brevispinus			
Sclerocactus cloverae			
Sclerocactus erectocentrus			
Sclerocactus glaucus			
Sclerocactus mariposensis			
Sclerocactus mesae-verdae			
Sclerocactus nyensis			
Sclerocactus papyracanthus			
Sclerocactus pubispinus			
Sclerocactus sileri			
Sclerocactus wetlandicus			
Sclerocactus wrightiae			
Strombocactus spp.			
Turbinicarpus spp.			
Uebelmannia spp.			

	Appendices		
	I I	i II	III
CARYOCARACEAE Ajo			
		Caryocar costaricense #4	
COMPOSITAE (Asteraceae) Kuth			
	Saussurea costus		
CUCURBITACEAE Melons, gourds, cucurbits			
		Zygosicyos pubescens	
		Zygosicyos tripartitus	
CUPRESSACEAE Alerce, cypresses			
	Fitzroya cupressoides Pilgerodendron uviferum	Widdringtonia whytei	
CYATHEACEAE Tree-ferns			
		Cyathea spp. #4	
CYCADACEAE Cycads		1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
,	Cyana haddamai	CYCADACEAE spp. #4 (Except the species included in Appendix I)	
DICKSONIACEAE Tree-ferns	Cycas beddomei		
DICKSONIACEAE Hee-lems		Cibotium barometz #4  Dicksonia spp. #4 (Only the populations of the Americas; no other population is included in the Appendices)	
DIDIEREACEAE Alluaudias,			
didiereas	<u> </u>	DIDIEREACEAE spp. #4	

<sup>&</sup>lt;sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

		Appendices	
	I	·· II	III
DIOSCOREACEAE Elephant's foot, kniss			
		Dioscorea deltoidea #4	
DROSERACEAE Venus' flytrap			
		Dionaea muscipula #4	
EBENACEAE Ebonies			
		<b>Diospyros spp.</b> #5 (Populations of Madagascar)	
EUPHORBIACEAE Spurges			
		Euphorbia spp. #4 (Succulent species only except Euphorbia misera and the species included in Appendix I.  Artificially propagated specimens of cultivars of Euphorbia trigona, artificially propagated specimens of crested, fanshaped or colour mutants of Euphorbia lactea, when grafted on artificially propagated root stock of Euphorbia neriifolia, and artificially propagated specimens of cultivars of Euphorbia 'Milii' when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not subject to the provisions of the Convention)	

<sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>&</sup>lt;sup>#5</sup> Logs, sawn wood and veneer sheets.

	Appendices		
	1	п	III
	Euphorbia ambovombensis		
	Euphorbia capsaintemariensis		
	<b>Euphorbia cremersii</b> (Includes the forma viridifolia and the var. rakotozafyi)		
	Euphorbia cylindrifolia (Includes the ssp. tuberifera)		
	<b>Euphorbia decaryi</b> (Includes the vars. ampanihyensis, robinsonii and spirosticha)		
	Euphorbia francoisii		
	Euphorbia moratii (Includes the vars. antsingiensis, bemarahensis and multiflora)		
	Euphorbia parvicyathophora		
	Euphorbia quartziticola		
	Euphorbia tulearensis		
FAGACEAE Beeches		· <u>·</u>	
			<b>Quercus mongolica</b> #5 (Russian Federation)
FOUQUIERIACEAE Ocotillos		·	
	Fouquieria fasciculata Fouquieria purpusii	Fouquieria columnaris #4	

<sup>#5</sup> Logs, sawn wood and veneer sheets.

<sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae;
e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

	Appendices		
	1	II	III
GNETACEAE Gnetums			
			Gnetum montanum #1 (Nepal)
JUGLANDACEAE Gavilan			
		Oreomunnea pterocarpa #4	
LAURACEAE Laurels			
		Aniba rosaeodora #12	
LEGUMINOSAE (Fabaceae) Afrormosia, cristobal, palisander, rosewood, sandalwood			
	Dalbergia nigra	<b>Dalbergia</b> spp. #15 (except for the species listed in Appendix I)	
			<b>Dipteryx panamensis</b> (Costa Rica, Nicaragua)
		Guibourtia demeusei #15	,
		Guibourtia pellegriniana #15	
		Guibourtia tessmannii #15	
		Paubrasilia echinata #10	

All parts and derivatives, except:

a) seeds, spores and pollen (including pollinia);

- b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
- c) cut flowers of artificially propagated plants; and
- d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus Vanilla.
- #4 All parts and derivatives, except:
  - a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
  - b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
  - c) cut flowers of artificially propagated plants;
  - d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;
  - e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
  - f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.
- the Logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #15 All parts and derivatives, except:
  - a) Leaves, flowers, pollen, fruits, and seeds;
  - b) Finished products to a maximum weight of wood of the listed species of up to 10 kg per shipment;
  - c) Finished musical instruments, finished musical instrument parts and finished musical instrument accessories;
  - d) Parts and derivatives of Dalbergia cochinchinensis, which are covered by Annotation # 4; and
  - e) Parts and derivatives of Dalbergia spp. originating and exported from Mexico, which are covered by Annotation # 6.
- #10 Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
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	Appendices		
	1	i II	III
		Pericopsis elata #17	
		Platymiscium parviflorum #4	
		Pterocarpus erinaceus	
		Pterocarpus santalinus #7	
		Pterocarpus tinctorius #6	
		Senna meridionalis	
LILIACEAE Aloes		······································	
	Aloe albida Aloe albiflora Aloe alfredii Aloe bakeri Aloe bellatula Aloe calcairophila Aloe compressa (Includes the vars. paucituberculata, rugosquamosa and schistophila) Aloe delphinensis Aloe descoingsii Aloe fragilis Aloe haworthioides (Includes the var. aurantiaca)	Aloe spp. #4 (Except the species included in Appendix I. Also excludes Aloe vera, also referenced as Aloe barbadensis which is not included in the Appendices)	

<sup>#17</sup> Logs, sawn wood, veneer sheets, plywood and transformed wood.

<sup>&</sup>lt;sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae), and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>&</sup>lt;sup>#7</sup> Logs, woodchips, powder and extracts.

<sup>&</sup>lt;sup>#6</sup> Logs, sawn wood, veneer sheets and plywood.

	Appendices		
	1	II	III
	Aloe helenae		
	Aloe laeta (Includes the var. maniaensis)		
	Aloe parallelifolia		
	Aloe parvula		
	Aloe pillansii		
	Aloe polyphylla		
	Aloe rauhii		
	Aloe suzannae		
	Aloe versicolor		
	Aloe vossii		
MAGNOLIACEAE Magnolias			
			Magnolia liliifera var. obovata #1 (Nepal)
MALVACEAE Includes baobabs		······································	
		Adansonia grandidieri #16	
MELIACEAE Mahoganies, West Indian cedar			
		Cedrela spp. #6 (Populations of the Neotropics)	

All parts and derivatives, except:a) seeds, spores and pollen (including pollinia);

<sup>b) seedling or tissue cultures obtained</sup> *in vitro*, in solid or liquid media, transported in sterile containers;
c) cut flowers of artificially propagated plants; and
d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus *Vanilla*.

<sup>#16</sup> Seeds, fruits and oils.

<sup>&</sup>lt;sup>#6</sup> Logs, sawn wood, veneer sheets and plywood.

	Appendices		
	1	II	III
		Swietenia humilis #4	
		<b>Swietenia macrophylla</b> #6 (Populations of the Neotropics)	
		Swietenia mahagoni #5	
NEPENTHACEAE Pitcher-plants (Old World)			
		<b>Nepenthes spp.</b> #4 (Except the species included in Appendix I)	
	Nepenthes khasiana Nepenthes rajah		
OLEACEAE Ashes, etc.			
			<b>Fraxinus mandshurica</b> #5 (Russian Federation)
ORCHIDACEAE Orchids			
		ORCHIDACEAE spp. 10 #4 (Except the species included in Appendix I)	

<sup>&</sup>lt;sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>&</sup>lt;sup>#6</sup> Logs, sawn wood, veneer sheets and plywood.

Logs, sawn wood and veneer sheets.

Artificially propagated hybrids of the following genera are not subject to the provisions of the Convention, if conditions, as indicated under a) and b), are met: *Cymbidium, Dendrobium, Phalaenopsis* and *Vanda*:

a) Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection.

irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and

b) i) when shipped in non-flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or

ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

	Appendices		
	l I	ıı II	III
	(For all of the following Appendix-I species, seedling or tissue cultures obtained in vitro, in solid or liquid media, and transported in sterile containers are not subject to the provisions of the Convention only if the specimens meet the definition of 'artificially propagated' agreed by the Conference of the Parties)  Aerangis ellisii Cattleya jongheana Cattleya lobata Dendrobium cruentum Mexipedium xerophyticum Paphiopedilum spp. Peristeria elata Phragmipedium spp. Renanthera imschootiana		
OROBANCHACEAE Broomrapes			
		Cistanche deserticola #4	
PALMAE (Arecaceae) Palms			
	Dypsis decipiens	Beccariophoenix madagascariensis #4 Dypsis decaryi #4 Lemurophoenix halleuxii Marojejya darianii	Lodoicea maldivica #13 (Seychelles)
		Ravenea louvelii	

<sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>#13</sup> The kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof, except finished products packaged and ready for retail trade.

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	Appendices		
	l I	· · · II	III
		Ravenea rivularis	
		Satranala decussilvae	
		Voanioala gerardii	
PAPAVERACEAE Poppy			
			Meconopsis regia #1 (Nepal)
PASSIFLORACEAE Passion-flowers			
		Adenia firingalavensis	
		Adenia olaboensis	
		Adenia subsessilifolia	
PEDALIACEAE Sesames			
		Uncarina grandidieri	
		Uncarina stellulifera	
PINACEAE Firs and pines			
	Abies guatemalensis		
			Pinus koraiensis #5 (Russian
			Federation)
PODOCARPACEAE Podocarps			
			Podocarpus neriifolius #1 (Nepal)
	Podocarpus parlatorei		

All parts and derivatives, except:
a) seeds, spores and pollen (including pollinia);

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

<sup>c) cut flowers of artificially propagated plants; and
d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus</sup> *Vanilla*.

<sup>&</sup>lt;sup>#5</sup> Logs, sawn wood and veneer sheets.

	Appendices		
	1	II .	III
PORTULACACEAE Lewisias,			
portulacas, purslanes			
		Anacampseros spp. #4	
		Avonia spp. #4	
		Lewisia serrata #4	
PRIMULACEAE Cyclamens			
		Cyclamen spp. 11 #4	
RANUNCULACEAE Golden seals, yellow adonis, yellow root			
		Adonis vernalis #2	
		Hydrastis canadensis #8	
ROSACEAE African cherry, stinkwood			
		Prunus africana #4	
RUBIACEAE Ayugue			
	Balmea stormiae		
SANTALACEAE Sandalwoods			
		Osyris lanceolata #2 (Populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda and the United Republic of Tanzania)	

<sup>&</sup>lt;sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>11</sup> Artificially propagated specimens of cultivars of Cyclamen persicum are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.

<sup>#2</sup> All parts and derivatives except:

a) seeds and pollen; and

b) finished products packaged and ready for retail trade.

<sup>&</sup>lt;sup>#8</sup> Underground parts (i.e. roots, rhizomes): whole, parts and powdered.

	Appendices		
	1	II	III
SARRACENIACEAE Pitcher-plants (New World)			
	Sarracenia oreophila Sarracenia rubra ssp. alabamensis Sarracenia rubra ssp. jonesii	Sarracenia spp. #4 (Except the species included in Appendix I)	
SCROPHULARIACEAE Kutki			
		Picrorhiza kurrooa <sup>#2</sup> (Excludes Picrorhiza scrophulariiflora)	
STANGERIACEAE Stangerias			
		Bowenia spp. #4	
	Stangeria eriopus		
TAXACEAE Himalayan yew			
		<b>Taxus chinensis</b> and infraspecific taxa of this species #2	
		<i>Taxus cuspidata</i> and infraspecific taxa of this species <sup>12 #2</sup>	
		Taxus fuana and infraspecific taxa of this species #2	
		Taxus sumatrana and infraspecific taxa of this species #2	
		Taxus wallichiana <sup>#2</sup>	
THYMELAEACEAE (Aquilariaceae) Agarwood, ramin			

<sup>&</sup>lt;sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

<sup>#2</sup> All parts and derivatives except:

a) seeds and pollen; and

b) finished products packaged and ready for retail trade.

<sup>&</sup>lt;sup>12</sup> Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of the Convention.

	Appendices		
	1	II II	III
		Aquilaria spp. #14	
		Gonystylus spp. #4	
		Aquilaria spp. #14 Gonystylus spp. #4 Gyrinops spp. #14	
TROCHODENDRACEAE		<u> </u>	
(Tetracentraceae) Tetracentron			
			Tetracentron sinense #1 (Nepal)
VALERIANACEAE Himalayan			
spikenard			
		Nardostachys grandiflora #2	
VITACEAE Grapes			
		Cyphostemma elephantopus	
		Cyphostemma laza	
		Cyphostemma laza Cyphostemma montagnacii	

#14 All parts and derivatives except:

- a) seeds and pollen;
- b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
- c) fruits;
- d) leaves:
- e) exhausted agarwood powder, including compressed powder in all shapes; and
- f) finished products packaged and ready for retail trade, this exemption does not apply to wood chips, beads, prayer beads and carvings.
- <sup>#4</sup> All parts and derivatives, except:
  - a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
  - b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
  - c) cut flowers of artificially propagated plants;
  - d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;
  - e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
- f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.
- All parts and derivatives, except:
  - a) seeds, spores and pollen (including pollinia);
  - b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
  - c) cut flowers of artificially propagated plants; and
  - d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus Vanilla.
- #2 All parts and derivatives except:
  - a) seeds and pollen; and
  - b) finished products packaged and ready for retail trade.

	Appendices		
	1	II II	III
WELWITSCHIACEAE Welwitschia			
		Welwitschia mirabilis #4	
ZAMIACEAE Cycads			
	Ceratozamia spp. Encephalartos spp. Microcycas calocoma Zamia restrepoi	ZAMIACEAE spp. #4 (Except the species included in Appendix I)	
ZINGIBERACEAE Ginger lily, Natal ginger			
		Hedychium philippinense #4 Siphonochilus aethiopicus (Populations of Mozambique, South Africa, Eswatini and Zimbabwe)	
ZYGOPHYLLACEAE Lignum-vitae			
		Bulnesia sarmientoi #11	
		Guaiacum spp. #2	

<sup>&</sup>lt;sup>#4</sup> All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;

e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and

f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

Logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.

<sup>#2</sup> All parts and derivatives except:

a) seeds and pollen; and

b) finished products packaged and ready for retail trade.